

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Martin Schmatz

Docket No.: CH919990008US1

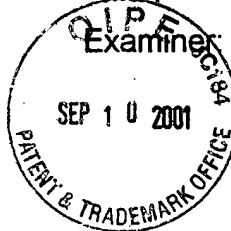
Serial No.: 09/682,253

Group Art Unit: 2633

Filed: August 9, 2001

For: Self Aligning Optical Detector

Assistant Commissioner for Patents
Washington, D.C. 20231



TRANSMITTAL LETTER

Sir:

Being filed herewith are copies of the following:

1) Notice to File Missing Parts of Non-Provisional Application

In response to the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted, dated 8/22/2001, Applicants enclose herewith a properly executed original Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63. The \$130.00 fee to cover the surcharge under 37 C.F.R. §1.16(e) is hereby authorized to be charged to Deposit Account No. 09-0468. A copy of the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted is further enclosed.

2) Deposit Account Authorization Form

3) Declaration and Power of Attorney

4) Recordation Form Cover Sheet

5) Assignment To IBM

6) Submission of Priority Document

7) Certified EPO Priority Application No. 00810715.3

8) Information Disclosure Statement Transmittal Letter

9) PTO 1449 Form with 1 reference

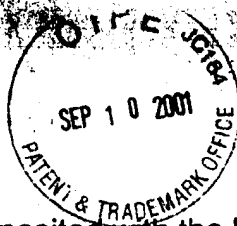
10) Acknowledgment Postcard

Docket No. CH919990008US1

Page 1 of 1

Serial No. 09/682,253

3.01 AVAILABLE COPY



The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,

Derek S. Jennings - Date September 6, 2001
Reg. Patent Agent/Engineer
Reg. No. 41,473
Tel. No. (914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Martin Schmatz

Date: September 6, 2001

Serial No.: 09/682, 253

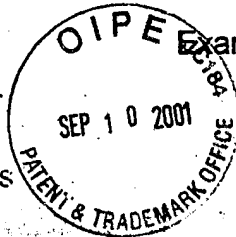
Group Art Unit: 2633

Filed: August 9, 2001

Examiner:

For: Self Aligning Optical Detector

Assistant Commissioner for Patents
Washington, DC 20231



DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated August 23, 2001 this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Derek S. Jennings", written over a horizontal line.

Derek S. Jennings
Reg. Patent Agent/Engineer
Reg. No.: 41,473
Tel. No.: (914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

Docket No.: CH91999008US1

BEST AVAILABLE COPY

Section 11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of: Martin Schmatz

Confirmation No. 1863

Serial No.: 09 /682,253

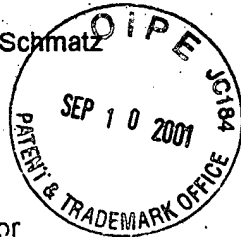
Examiner:

Filed: August 9, 2001

Group Art: 2633

For: Self Aligning Optical Detector

Assistant Commissioner for Patents
Washington, D. C. 20231



CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice To File Missing Parts Of A Non-Provisional Application
Deposit Account Authorization Form
Declaration And Power Of Attorney
Recordation Form Cover Sheet
Assignment To IBM
Submission Of Priority Document
Certified EPO Application No. 00810715.3
IDS Transmittal Letter
PTO-1449 Form w/ 1 reference
Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

*Assistant Commissioner for Patents
Washington, D.C. 20231*

on September 5, 2001.

Le' Tricia M. Van Buren

(Type or print name of person mailing paper)

(Signature of person mailing paper)

Docket No.: CH919990-1863

AVAILABLE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Martin Schmatz

Serial No.: 09/682,253

Filed: August 9, 2001

For: Self Aligning Optical Detector

Assistant Commissioner for Patents
Washington, D.C. 20231



Date: September 6, 2001

Group Art Unit: 2633

Examiner:

Docket No.: CH919990008US1

TRANSMITTAL LETTER

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' representative that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Derek S. Jennings".

Derek S. Jennings
Reg. No. 41,473
(914) 945-2144

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598

BEST AVAILABLE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/682,253	08/09/2001	Martin Schmatz	CH919990008

00877

IBM CORPORATION, T.J. WATSON RESEARCH CENTER
P.O. BOX 218
YORKTOWN HEIGHTS, NY 10598



CONFIRMATION NO. 1863

FORMALITIES LETTER



OC000000006462205

Date Mailed: 08/23/2001

DUE 10/23/01

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

IBM
YORKTOWN
2001 AUG 27 AM 9:56
INTELLECTUAL PROPERTY
LAW DEPT.

09/14/2001 MBELETE1 00000013 090468 09682253

01 FC:105

130.00 CH